A Novel Functional Initiator for the Synthesis of a Living, New Asymmetric Telechelic Poly(isobutylene)

Pratap Gullapalli* and J. P. Heller

New Mexico Petroleum Recovery Research Center, A Division of New Mexico Institute of Mining and Technology, Socorro, NM 87801, USA

(+)-(1*R*)-Nopinone 1, in conjunction with a Lewis acid and an electron donor (*N*,*N*-dimethylacetamide or triethylamine) in CH_2CI_2 , initiates the polymerisation of isobutylene at $-40\,^{\circ}C$ resulting in the formation of a new α , ω -asymmetric telechelic poly(isobutylene) of low polydispersity.

α,ω-Asymmetric telechelic poly(isobutylenes), X-PIB-Y, 2, which bear dissimilar functional groups at both chain ends, represent a very interesting class of materials as they allow selective end-group functionalisation into unique, new materials for a number of applications such as macromonomers, block polymers, ionomers and biomedical materials.¹ Although the preparation of 2 is strenuous, such well-defined asymmetric telechelic PIB prepolymers, capped with various functional groups, have recently been the subject of much interest since the introduction of the 'inifer' concept by Kennedy et al.2 for living carbocationic polymerisation of isobutylene (IB) using polyfunctional tert-ester, ether, halide, alcohol and lactone.³ Here, we describe the application of 1 as an efficient novel functional initiator for the polymerisation of IB with BCl₃ in conjunction with either N, N-dimethylacetamide (DMA) or triethylamine (TEA) in dichloromethane at -40 °C for the synthesis of a new α -(4-cyclohexanone)- ω -(chlorodimethyl)poly(isobutylene) 3 of low polydispersity as shown in Scheme 1.

In the present study, use has been made of a bicyclic ketone, 1, which undergoes acid catalysed ring-opening to form the

corresponding 4-(1'-chloro-1',1'-dimethyl)cyclohexanone, 4.4 If this reaction proceeds in conjunction with BCl₃, it should be possible to carry out the carbocationic polymerisation of the added IB monomer. To our knowledge, this is the first report on the application of 1 as an efficient monofunctional initiator transfer ('minifer') agent for the synthesis of a new polymer, 3. For comparison purposes, we polymerised IB by the 4-(1'-hydroxy-1',1'-dimethyl)cyclohexanone 5/BCl₃ initiating system, which also resulted in 3.5

The polymerisation of IB was carried out as follows: (a) To a magnetically stirred solution of the initiator 1 or 5 (0.393 mmol) in dry dichloromethane (20 ml), prechilled IB

Scheme 1

Table 1 Polymerisation of isobutylene (IB) by (i) $1/BCl_3$, (ii) $1/BC_3/DMA$ or TEA and (iii) $5/BCl_3$ initiating systems in CH_2Cl_2 at $-40\,^{\circ}C$

Sample no.	Initiating system	t/min	M _p /g	M_{n^a} (Found)	Polydispersity $(M_w/M_n)^b$	$I_{eff}{}^b$
1	1/BCl ₃	30	0.61	2601	4.95	59.60
2	1/BCl ₃ /DMA	30	0.45	1145	1.31	99.87
3	1/BCl ₃ /DMA	60	1.10	2784	1.42	100.40
4	1/BCl ₃ /TEA	30	0.43	1120	1.29	98.82
5	1/BCl₃/TEA	60	1.09	2830	1.46	98.53
6	5/BCl ₃	30	0.54	3257	11.24	42.13

^a By GPC measurements. ^b $(M_p \times 100/M_n \times [\text{initiator}])$, samples 1, 2, 4 and 6 by the all monomer in (AMI) technique, and samples 3 and 5 by the incremental monomer addition (IMA) technique.

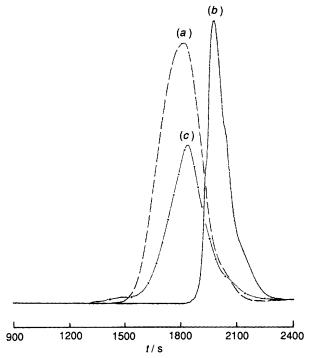


Fig. 1 Representative GPC elution (RI) traces of α -(4-cyclohexanone)- ω -(chlorodimethyl)poly(isobutylene) 3, synthesised by the initiating systems in CH₂Cl₂ at -40 °C: (a) 1/BCl₃ (sample 1); (b) 1/BCl₃/DMA (sample 2); and (c) 5/BCl₃ (sample 6)

(11.8 mmol) was added under a dry nitrogen atmosphere. After allowing the contents to stir for 15 min, polymerisation was started by the addition of BCl₃ (7.9 mmol) at $-40\,^{\circ}$ C and continued for the desired time, Table 1. Polymerisation was then terminated by the addition of prechilled methanol (3 ml). The solvents were evaporated and the resulting polymer was dissolved in (olefin-free) hexanes. After washing the polymer with water until neutral, it was dried over anhydrous Na₂SO₄. Evaporation of the solvent furnished the polymer, which was dried *in vacuo* to a constant mass. (b) While maintaining the same molar ratio of the reactants under identical polymerisation conditions, living polymerisation of IB was effected by the addition of BCl₃ to the premixed solution of initiator, monomer, and DMA or TEA (0.393 mmol) in CH₂Cl₂.

The dry and liquid polymers were characterised by the FTIR and ¹³C NMR spectroscopic methods.† The functionali-

ties, ' α -(4-cyclohexanone)' head-group (δ 212.1) and the ' ω -(chlorodimethyl)' end-group (δ 71.6), were identified in polymers 3 by ^{13}C NMR spectroscopy. As shown by the gel permeation chromatography (GPC) traces of the polymers in Fig. 1, the polymer (sample 2), prepared by the novel 1/BCl₃/DMA initiating system, shows all the characteristics of a well-defined living polymer with a low polydispersity or narrow molecular mass distribution (MMD) and the polymer (sample 3) shows the progressive increase in molecular mass with increasing monomer to initiator ratio, Table 1. These results were further corroborated with the 1/BCl₃/TEA initating system as given in Table 1.

As is evident from the results of the present study, our proposition has proved to be correct. The true initiating species in this polymerisation is the in situ formed 4, effecting the carbocationic polymerisation of added IB monomer in conjunction with BCl₃.5 The following observations prove the advantages of the novel functional initiator, 1: (i) application of 1 as an initiator for the polymerisation of IB in conjunction with both BCl3 and either electron donor resulted in the preparation of a living, new, functional polymer, 3; (ii) the use of our novel 1/BCl₃ initiating system (sample 1) was found to reduce the protic initiation of the monomer as compared with the polymer resulting from the 5/BCl₃ initiating system (sample 6) in the absence of any electron donor such as DMA for the preparation of 3 at the identical polymerisation conditions⁵ as shown by the GPC results in Fig. 1; and (iii) the initiator efficiency and yield of 3 were found to be higher for the 1/BCl₃ initiating system (sample 1) than for the 5/BCl₃ initiating system (sample 6), Table 1.

The authors express their gratitude to Professor J. Smith for the NMR spectra. This research was supported by Japex of Japan.

Received, 6th April 1993; Com. 3/02008H

References

- 1 J. P. Kennedy and B. Ivan, Designed Polymers by Carbocationic Macromolecular Engineering: Theory and Practice, 1992, Hanser, Munich, New York, and references therein; E. J. Goethals, Telechelic Polymers: Synthesis and Applications, 1989, CRC Press, Boca Raton, Florida.
- 2 J. P. Kennedy and R. A. Smith, J. Polym. Sci.: Polym. Chem. Ed., 1980, 18, 1523.
- 3 R. Faust and J. P. Kennedy, J. Polym. Sci.: Part A: Polym. Chem., 1987, 25, 1847; G. Kaszas, J. E. Puskas, C. C. Chen and J. P. Kennedy, J. Macromol. Sci.-Chem., 1990, A 27, 1571; G. Pratap and J. P. Heller, J. Polym. Sci.: Part A: Polym. Chem., 1992, 30, 163; G. Pratap, S. A. Mustafa and J. P. Heller, J. Polym. Sci.: Part A: Polym. Chem., 1993, 31, 2387.
- 4 A. van der Gen, L. M. van der Linde, J. G. Witteveen and H. Boelens, *Recueil.*, 1971, 90, 1034.
- 5 G. Pratap and J. P. Heller, *Macromolecules*, manuscript in preparation.

[†] All new polymers were characterised on the basis of the spectroscopic data: Selected spectroscopic values for polymer 3 are as follows: (3, samples 2–5): IR (neat) v/cm⁻¹ 1726, 1390, 1365, 1232, 955, 925; ¹³C NMR (CDCl₃, 400 MHz) & 31.5, 35.5, 38.4, 59.8, 71.5, 211.9.